



RCE/1792  
12-28-02  
PTO/SB/30 (10-01)  
OMB 0651-0031  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/368,437
Filing Date	August 4, 1999
First Named Inventor	Henry Goldstein
Art Unit	1761
Examiner Name	C. Sherrer
Attorney Docket Number	660005.96789

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

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TC-1700

- Submission required under 37 CFR 1.114
  - ☐ Previously submitted
    - ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendments) referred to above will be entered.
    - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - ☐ Other \_\_\_\_\_
  - ☒ Enclosed
    - ☒ Amendment/Reply
    - ☐ Affidavit(s)/Declaration(s)
  - ☐ Information Disclosure Statement (IDS)
  - ☐ Other \_\_\_\_\_
- Miscellaneous
  - ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months. Fee under 37 CFR 1.17(n) required.)
  - ☐ Other \_\_\_\_\_
- Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
  - ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 17-0055.
    - ☒ RCE fee required under 37 CFR 1.17(e)
    - ☒ Extension of time fee (37 CFR 1.136 and 1.17)
    - ☐ Other \_\_\_\_\_
  - ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
  - ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	David G. Ryser	Registration No. (Attorney/Agent)	36,407
Signature	<i>David G. Ryser</i>	Date	January 31, 2002

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	David G. Ryser	Date	January 31, 2002
Signature	<i>David G. Ryser</i>		

Burden Hour Statement: This form is estimated to take 10 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC, 20231.

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01 FC:179 740.00 CH

## FEE TRANSMITTAL

Complete if Known

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997.  
Small Entity payments must be supported by a small entity statement  
otherwise large entity fees must be paid. See Form PTO SB 09-12

Application Number \_\_\_\_\_  
Filing Date August 4, 1999  
First Named Inventor Henry Goldstein  
Group Art Unit 1761  
Examiner Name C. Sherrer  
Attorney Docket Number 760045, 98-089

TOTAL AMOUNT OF PAYMENT \$946.00

## FEE CALCULATION (continued)

## METHOD OF PAYMENT (check one)

- 1 ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to
- Deposit Account Number 17-0055
- Deposit Account Name Quarles & Brady LLP
- ☒ Charge Any Additional Fees Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Notice of the Notice of Allowance, 37 CFR 1.311(b)
- 2 ☐ Payment Enclosed: ☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 11/10/98)

## 1. FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	700	201	380	Utility filing fee	\$760.00
106	310	206	155	Design filing fee	
107	490	207	240	Plant filing fee	
108	760	208	350	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$760.00)

## 2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims <u>26</u> <u>-20**</u> <u>6</u> x <u>\$18.00</u> = <u>\$108.00</u>			
Independent Claims <u>4</u> <u>-3**</u> <u>1</u> x <u>\$78.00</u> = <u>\$78.00</u>			

Multiple Dependent Claims

\*\* or number previously paid, if greater. For reissues see below

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

## 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	150	205	65	Surcharge - late filing fee of utility	
127	50	227	25	Surcharge - late payment filing fee of utility	
139	130	139	130	Non English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,350	228	675	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to remove accidentally abandoned application	
141	1,110	241	625	Petition to remove unintentionally abandoned application	
142	1,210	242	625	Utility issue fee or response	
143	490	243	245	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of information Disclosure Stmt	
581	40	581	40	Reexamining a patent assignment per property items number of properties	
146	760	246	380	Filing a suspension after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

SUBTOTAL (3)

SUBMITTED BY

Typed or Printed Name David C. Pyser

Date

August 4, 1999

Fee Number

56-400

Deposit Account Number



PTO/SB/17 (11-01)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
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**for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1,140.00**Complete if Known**

Application Number	09/368,437
Filing Date	August 4, 1999
First Named Inventor	Henry Goldstein
Examiner Name	C. Sherrer
Group Art Unit	1761
Attorney Docket No.	660005 96789

**RECEIVED**  
FEB 26 2002  
TC 1700**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account

Deposit Account Number

Deposit Account Name

17-0055  
Quarles & Brady LLP

The Commissioner is authorized to (check all that apply)

☒ Charge fees indicated below ☐ Credit any overpayments☐ Charge any additional fees during the pendency of this application☐ Charge fees indicated below, except for the filing fee

to the above designated deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 195	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1)** (\$) 0.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$) 0.00

\*\*see number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee claim	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 111	Non-English specification	
147 252	147 250	For filing a request for re-examination	
112 92*	112 90*	Requesting publication of SIR prior to Examiner action	
113 84*	113 141*	Requesting publication of SIR after Examiner action	
115 110	215 75	Extension for reply within first month	400.00
116 40*	216 20	Extension for reply within second month	
117 93*	217 40	Extension for reply within third month	
118 144*	218 70	Extension for reply within fourth month	
128 194*	228 90	Extension for reply within fifth month	
119 51*	219 10	Notice of Appeal	
120 50	220 50	Filing a brief in support of an appeal	
121 20	221 20	Retest for oral hearing	
136 111*	136 1510	Petition to institute a public use proceeding	
140 11*	240 65	Petition to revive - Unavoidable	
141 180	241 610	Petition to revive - Unintentional	
142 1280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 12*	122 120	Petitions to the Commissioner	
123 54	123 50	Processing fee under 37 CFR 1.171(a)	
126 18*	126 180	Submission of information Disclosure Stmt	
551 4	551 40	Recovery of each patent assignment per property times number of properties	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
174 740	274 370	Request for Continued Examination (PCE)	
163 900	163 900	Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 1,140.00**SUBMITTED BY**

Name (Print Type)

David G. Ryser

Signature

Registration No.

36,407

**Complete if applicable**

Telephone

414-277-5717

Date

January 31, 2002

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